

SANDLER O'NEILL + PARTNERS, L.P.

Global Exchange and Brokerage Conference

New York • June 6-7, 2018

AGENDA

+ Wednesday, June 6, 2018

- 7:30 a.m. Registration/Continental Breakfast
- 8:00 a.m. E*TRADE Financial Corporation (ETFC) ~ CEO Karl Roessner
- 8:30 a.m. Interactive Brokers Group, Inc. (IBKR) ~ Chairman & CEO Thomas Peterffy
- 9:00 a.m. Fireside Chat with U.S. Securities and Exchange Commission (SEC) ~ Chairman Jay Clayton
- 9:30 a.m. PANEL: FinTech: Challenging the Traditional Models
Betterment LLC ~ Boris Khentov; Coinbase ~ Kayvon Pirestani; Robinhood Financial LLC ~ Miles Wellesley; SoFi ~ John Gardner
- 10:30 a.m. TD AMERITRADE Holding Corporation (AMTD) ~ CEO Tim Hockey
- 11:00 a.m. GAIN Capital Holdings, Inc. (GCAP) ~ CEO Glenn Stevens
- 11:30 a.m. BGC Partners, Inc. (BGCP) ~ Chairman & CEO Howard Lutnick
- 12:00 p.m. **Lunch** ~ featuring Joe Moglia, TD Ameritrade Chairman and head football coach and Chair of Athletics at Coastal Carolina University (keynote speaker will begin at 12:30 p.m.)
- 1:30 p.m. PANEL: Electronic Markets: Bringing Automation to our Markets; Two Groundbreakers
Liquidnet Holdings, Inc. ~ Seth Merrin; Tradeweb Markets LLC ~ Lee Olesky
- 2:00 p.m. Investment Technology Group, Inc. (ITG) ~ CEO Frank Troise & CFO Steve Vigliotti
- 2:30 p.m. Virtu Financial, Inc. (VIRT) ~ CEO Douglas Cifu & CFO Joseph Molluso
- 3:00 p.m. PANEL: Equity Market Structure: Shifting the Focus to Action
Citadel Securities ~ Joseph Mecane; NYSE Group ~ Stacey Cunningham; SEC ~ Brett Redfearn; Jamie Selway
- 3:45 p.m. Break
- 4:00 p.m. Hong Kong Exchanges and Clearing Limited (388.HK) ~ CEO Charles Li
- 4:30 p.m. MarketAxess Holdings Inc. (MKTX) ~ Chairman & CEO Rick McVey
- 5:00 p.m. End of Session
- 5:00 p.m. **Mingle with Management Cocktail Hour**

+ Thursday, June 7, 2018

- 7:00 a.m. Registration/Continental Breakfast
- 7:30 a.m. Bolsas y Mercados Españoles (BME.SM) ~ Chairman Antonio Zoido
- 8:00 a.m. Cboe Global Markets, Inc. (CBOE) ~ Chairman & CEO Edward Tilly
- 8:30 a.m. Nasdaq, Inc. (NDAQ) ~ CEO Adena Friedman
- 9:00 a.m. TMX Group Ltd. (X.CN) ~ CEO Lou Eccleston
- 9:30 a.m. London Stock Exchange Group plc (LSE.LN) ~ CFO David Warren
- 10:00 a.m. Intercontinental Exchange, Inc. (ICE) ~ Chairman & CEO Jeffrey Sprecher
- 10:30 a.m. CME Group Inc. (CME) ~ Chairman & CEO Terry Duffy
- 11:00 a.m. End of Session*

*Formal presentations end at 11 a.m. Thursday afternoon but some small group meetings will run until 2 p.m.

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Additional Companies Available for Small Group Meetings:

Investment Banks & Brokers:

Cowen Inc. (COWN)
Evercore Inc. (EVR)
Greenhill & Co., Inc. (GHL)
Houlihan Lokey, Inc. (HLI)
Moelis & Company (MC)
PJT Partners Inc. (PJT)

Financial Technology:

Broadridge Financial Solutions, Inc. (BR)
Envestnet, Inc. (ENV)
SEI Investments Company (SEIC)
SS&C Technologies Holdings, Inc. (SSNC)

Exchanges:

MIAX Options Exchange
Singapore Exchange Ltd. (SGX.SP)

Trading Companies & Market Makers:

IMC Financial Markets
NEX Group plc (NXG.LN)
Tradebot Systems, Inc.